

Substitute for form 1449A-PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

<b>Application Number</b>	10/750,058
<b>Filing Date</b>	December 30, 2003
<b>First Named Inventor</b>	Savchenko, Vladimir
<b>Group Art Unit</b>	2453
<b>Examiner Name</b>	Higa, Brendan

Sheet 1 of 10

Attorney Docket No: 6570P062

**US PATENT DOCUMENTS**

Examiner Initial *	Cite No. †	USP Document Number	Publication or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-2001/0029604	10/11/2001	Dreyband, Jacob, et al.	
		US-2002/0029298	03/07/2002	Wilson, Magnus	
		US-2002/0143819	10/03/2002	Han, Cheng, et al.	
		US-2002/0029298	03/07/2002	Wilson, Magnus	
		US-2002/0073063	06/13/2002	Faraj, Mazen	
		US-2002/0075325	06/20/2002	Ailor, Jason M., et al.	
		US-2002/0170036	11/14/2002	Jeffrey, R. C., et al.	
		US-2002/0186238	12/12/2002	Sylor, Mark W., et al.	
		US-2002/0188610	12/12/2002	Spencer, Herman	
		US-2003/0120593	06/26/2003	Bansal, Amar I., et al.	
		US-2003/0225851	12/04/2003	Fanshier, Stephen R., et al.	
		US-2003/0005173	01/02/2003	Shah, Rajeeta L., et al.	
		US-2003/0051102	03/13/2003	Jacobs, Dean B., et al.	
		US-2003/0061247	03/27/2003	Renaud, Benjamin	
		US-2003/0069969	04/10/2003	Renaud, Benjamin J.	
		US-2003/0110252	06/12/2003	Yang-Huffman, Siew-Hong	
		US-2003/0120593	06/26/2003	Bansal, Amar I., et al.	
		US-2003/0163450	08/28/2003	Borenstein, Joram, et al.	
		US-2003/0167304	09/04/2003	Zhu, Min, et al.	
		US-2003/0177477	09/18/2003	Fuchs, Daniel	
		US-2003/0208505	11/06/2003	Mullins, Ward, et al.	
		US-2003/0225851	12/04/2003	Fanshier, Stephen R., et al.	
		US-2003/0225872	12/04/2003	Bartek, Velda A., et al.	
		US-2003/0236880	12/25/2003	Srivastava, Rahul, et al.	
		US-2004/0078722	04/22/2004	Pfeiffer, Stephen, et al.	
		US-2004/0003122	01/01/2004	Melillo, Marco	
		US-2004/0019662	01/29/2004	Viswanath, Sridatta, et al.	
		US-2004/0019669	01/29/2004	Viswanath, Sridatta, et al.	
		US-2004/0019684	01/29/2004	Potter, Timothy, et al.	
		US-2004/0022237	02/05/2004	Elliott, Isaac K., et al.	
		US-2004/0028059	02/12/2004	Josyula, Rovi, et al.	
		US-2004/0031020	02/12/2004	Berry, Robert F., et al.	
		US-2004/0058652	03/25/2004	McGregor, Christopher M., et al.	
		US-2004/0064552	04/01/2004	Chong, James C., et al.	
		US-2004/0073782	04/15/2004	Price, Adrian, et al.	
		US-2004/0078722	04/22/2004	Pfeiffer, Stephen, et al.	
		US-2004/0123279	06/24/2004	Boykin, James R., et al.	
		US-2004/0148610	07/29/2004	Tsun, William, et al.	

EXAMINER

/Brendan Higa/

DATE CONSIDERED

07/16/2010

\* EXAMINER: Indicate references considered, whether or not reliance is in accordance with MPEP § 609. Draw line through citation if not in accordance and not reconsidered. Indicate copy of this form with next communication to applicant. † Applicant's unique citation designations (number, tickmark) if applicant is to claim a third mark term, if English language. Translation is allowed.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.H./

Substitute for form 1449A-PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/750,058
Filing Date	December 30, 2003
First Named Inventor	Savchenko, Vladimir
Group Art Unit	2453
Examiner Name	Higa, Brendan

Sheet 2 of 10

Attorney Docket No: 6570P062

	US-2004/0154011	08/05/2004	Wang, Hong, et al.	
	US-2004/0158837	08/12/2004	Sengodan, Kathiravan	
	US-2004/0215649	10/28/2004	Whalen, William J., et al.	
	US-2004/0230973	11/18/2004	Cundiff, Jr., Roger L., et al.	
	US-2004/0249613	12/09/2004	Sprogis, David H., et al.	
	US-2004/0268314	12/30/2004	Koilman, Michael D., et al.	
	US-2005/0132337	06/16/2005	Wedel, Malte, et al.	
	US-2005/0154785	07/14/2005	Reed, et al.	
	US-2005/0216488	09/29/2005	Petrov, Miroslav R., et al.	
	US-2005/0216584	09/29/2005	Chisholm, Sharon	
	US-2005/0010608	01/13/2005	Horikawa, Shigeru	
	US-2005/0022157	01/27/2005	Brendle, Rainer, et al.	
	US-2005/0028171	02/03/2005	Kougiouris, Panagiotis, et al.	
	US-2005/0033777	02/10/2005	Moraes, Mark A., et al.	
	US-2005/0038889	02/17/2005	Frietsch, Thomas	
	US-2005/0039171	02/17/2005	Arra, E. A., et al.	
	US-2005/0039187	02/17/2005	Avakian, Arra E., et al.	
	US-2005/0097110	05/05/2005	Nishanov, Gor, et al.	
	US-2005/0102536	05/12/2005	Patrick, Paul, et al.	
	US-2005/0114394	05/26/2005	Kaipa, Sam P., et al.	
	US-2005/0132041	06/15/2005	Kundu, Ashish	
	US-2005/0132337	06/16/2005	Wedel, Malte, et al.	
	US-2005/0154785	07/14/2005	Reed, Benjamin C., et al.	
	US-2005/0172306	08/04/2005	Agarwal, Monoj K., et al.	
	US-2005/0234931	10/20/2005	Yip, Ying-Kin T., et al.	
	US-2005/0234967	10/20/2005	Draluk, Vadim, et al.	
	US-2005/0246656	11/03/2005	Vasilev, Vasil G., et al.	
	US-2005/0257157	11/17/2005	Gilboa, Yuval, et al.	
	US-2005/0273703	12/08/2005	Doughan, Blaise	
	US-2006/0136351	06/22/2006	Angrish, et al.	
	US-2006/0095674	05/04/2006	Twomey, John E.	
	US-2007/0203820	08/30/2007	Rashid, Taimur A.	
	US-2007/0245167	10/18/2007	De La Cruz, Francisco, et al.	
	US-5,201,044	04/06/1993	Frey, Alexander H., et al.	
	US-5,802,291	09/01/1998	Balick, Maurice, et al.	
	US-5,944,841	08/31/1999	Christie, David S.	
	US-6,026,237	02/15/2000	Berry, Francis R., et al.	
	US-6,055,492	04/25/2000	Alexander, III, William P., et al.	
	US-6,061,721	05/09/2000	Ismael, Osman A., et al.	
	US-6,061,740	05/09/2000	Ferguson, Daniel T., et al.	
	US-6,066,181	05/23/2000	DeMaster, Jerry D.	
	US-6,083,281	07/04/2000	Diec, Michael, et al.	

EXAMINER

/Brendan Higa/

DATE CONSIDERED

07/16/2010

\* EXAMINER: Indicate reference considered, whether or not citation is in accordance with MPEP 909. Draw line through citation if not in accordance and not considered. Indicate copy of this form with next communication to applicant. Applicant's various citation designations (such as "cited") in this column are for examiner's use only. Applicant is to check a box next to a citation if it is considered. If not, it is not considered.

ALL REFERENCES CONSIDERED WHERE LINED THROUGH. /B.H./

Substitute for form 1449A-PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/750,058
Filing Date	December 30, 2003
First Named Inventor	Savchenko, Vladimir
Group Art Unit	2453
Examiner Name	Higa, Brendan

Sheet 3 of 10

Attorney Docket No: 6570P062

	US-6,118,940	09/12/2000	Alexander III, William P., et al.	
	US-6,134,581	10/17/2000	Ismael, Osman A., et al.	
	US-6,144,967	11/07/2000	Nock, Clifton M.	
	US-6,202,199	03/13/2001	Wygodny, Shlomo, et al.	
	US-6,205,476	03/20/2001	Hayes, Jr., Kent F.	
	US-6,212,520	04/03/2001	Maruyama, Hidefumi, et al.	
	US-6,230,313	05/08/2001	Callhan, II, Charles D., et al.	
	US-6,260,187B1	07/10/2001	Cirne, Lewis K.	
	US-6,272,537	08/07/2001	Kekic, Miodrag M., et al.	
	US-6,308,208	10/23/2001	Jung, Carey L., et al.	
	US-6,351,776	02/26/2002	O'Brien, Brett, et al.	
	US-6,356,931	03/12/2002	Ismael, Osman A., et al.	
	US-6,381,735	04/30/2002	Hunt, Galen C.	
	US-6,389,464	05/14/2002	Krishnamurthy, Snni, et al.	
	US-6,427,228	07/30/2002	Wigger, William D.	
	US-6,466,973	10/15/2002	Jaffe, David H.	
	US-6,470,388	10/22/2002	Niemi, Frederick E., et al.	
	US-6,539,501	03/25/2003	Edwards, Jermaine C.	
	US-6,553,403	04/22/2003	Jarriel, Stuart, et al.	
	US-6,567,809	05/20/2003	Santosuosso, John M.	
	US-6,631,515	10/07/2003	Bersis, Viktors	
	US-6,658,600	12/02/2003	Hogdal, Greg, et al.	
	US-6,662,359	12/09/2003	Robert, Francis B., et al.	
	US-6,664,978	12/16/2003	Kekic, Miodrag M., et al.	
	US-6,681,232	01/20/2004	Sistanizadeh, Kamran	
	US-6,708,173	03/16/2004	Behr, Gail L., et al.	
	US-6,738,933	05/18/2004	Fraenkel, Noam A., et al.	
	US-6,754,890	06/22/2004	Berry, Robert F., et al.	
	US-6,772,178	08/03/2004	Mandal, Chhandomay, et al.	
	US-6,788,315	09/07/2004	Kekic, Miodrag M., et al.	
	US-6,789,257	09/07/2004	MacPhail, Margaret G.	
	US-6,792,456	09/14/2004	Hellerstein, Joseph L., et al.	
	US-6,792,460	09/14/2004	Oulu, Yossi, et al.	
	US-6,795,791	09/21/2004	Gorman, Atarbes K.	
	US-6,802,067	10/05/2004	Camp, Brandon, et al.	
	US-6,834,301	12/21/2004	Hanchett, Paul F.	
	US-6,836,878	12/28/2004	Cuomo, Gennaro, et al.	
	US-6,851,118	02/01/2005	Ismael, Osman A., et al.	
	US-6,853,995	02/08/2005	Matsuzaki, Yoshiaki, et al.	
	US-6,857,119	02/15/2005	Desai, Sachin	
	US-6,862,711	03/01/2005	Bahrs, Peter C., et al.	
	US-6,880,125	04/12/2005	Fry, Chris	

EXAMINER

/Brendan Higa/

DATE CONSIDERED

07/16/2010

Based on PTO/SO-6463-01 - Substitute Disclosure Statement Form (PTO-1449) as modified by USPTO 6352/035607

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not corrected. Indicate copy of this form with next communication to applicant. Applicant's unique citation designations must be included. Applicant is to check as many boxes as applicable. Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.H./

Substitute for form 1449A-PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/750,058
Filing Date	December 30, 2003
First Named Inventor	Savchenko, Vladimir
Group Art Unit	2453
Examiner Name	Higa, Brendan

Sheet 4 of 10

Attorney Docket No: 6570P062

	US-6,895,578	05/17/2005	Kolawa, Adam K., et al.	
	US-6,922,417	07/26/2005	Vanlint, Paul	
	US-6,925,631	08/02/2005	Golden, Richard	
	US-6,934,942	08/23/2005	Chilimbi, Trishui M.	
	US-6,950,874	09/27/2005	Chang, Ching-Jye, et al.	
	US-6,952,726	10/04/2005	White, Craig R., et al.	
	US-6,961,918	11/01/2005	Garner, Robert E., et al.	
	US-6,968,540	11/22/2005	Beck, Ralph L., et al.	
	US-6,985,848	01/10/2006	Swoboda, Gary L., et al.	
	US-6,990,601	01/24/2006	Tsuneya, Akira, et al.	
	US-6,993,453	01/31/2006	Krissell, Daniel L.	
	US-7,000,235	02/14/2006	Mandal, Chhandomay, et al.	
	US-7,017,162	03/21/2006	Smith, Adam W., et al.	
	US-7,024,474	04/04/2006	Clubb, Kenneth, et al.	
	US-7,051,324	05/23/2006	Gissel, Thomas R., et al.	
	US-7,058,558	06/06/2006	Reichenthal, Steven W.	
	US-7,062,540	06/13/2006	Reddy, Padma P., et al.	
	US-7,069,267	06/27/2006	Spencer, Jr., Herman	
	US-7,082,464	07/25/2006	Hasan, Taqi, et al.	
	US-7,086,065	08/01/2006	Yeluripati, Purushottam, et al.	
	US-7,093,234	08/15/2006	Jason, D. H., et al.	
	US-7,120,685	10/10/2006	Ullmann, Lorin E., et al.	
	US-7,131,113	10/31/2006	Chang, Rong N., et al.	
	US-7,150,014	12/12/2006	Graupner, Sven	
	US-7,152,104	12/19/2006	Musante, Mark J., et al.	
	US-7,174,370	02/06/2007	Saini, Atul, et al.	
	US-7,184,922	02/27/2007	Ousley, Timothy H., et al.	
	US-7,200,588	04/03/2007	Srivastava, Alok K., et al.	
	US-7,203,697	04/10/2007	Chang, David Y., et al.	
	US-7,203,868	04/10/2007	Evoy, Jerry M.	
	US-7,206,827	04/17/2007	Viswanath, Sridatta, et al.	
	US-7,209,898	04/24/2007	Pfeiffer, Stephen, et al.	
	US-7,209,963	04/24/2007	Burton, Toby L., et al.	
	US-7,231,435	06/12/2007	Ohta, Satoshi	
	US-7,233,989	06/19/2007	Srivastava, Rahul, et al.	
	US-7,240,334	07/03/2007	Fluke, John C., et al.	
	US-7,251,809	07/31/2007	Barclay, Brad J., et al.	
	US-7,277,935	10/02/2007	Sato, Takayuki	
	US-7,305,671	12/04/2007	Davidov, Eran, et al.	
	US-7,373,661	05/13/2008	Smith, Robert D., et al.	
	US-7,409,709	08/05/2008	Smith, Robert D., et al.	
	US-7,421,495	09/02/2008	Yang, Fang, et al.	

EXAMINER

/Brendan Higa/

DATE CONSIDERED

07/16/2010

\*EXAMINER: Indent if reference considered, whether or not relation is in accordance with MPEP 909. Draw line through citation if not in accordance and not considered. Indent copy of this form with next communication to applicant. Applicant's previous citation designations must be followed. Applicant is to check in this box if cited in this form. If English language, translation is allowed.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.H./

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/750,058
Filing Date	December 30, 2003
First Named Inventor	Savchenko, Vladimir
Group Art Unit	2453
Examiner Name	Higa, Brendan

Sheet 5 of 10

Attorney Docket No: 6570P062

		US-7,467,162	12/16/2008	Rosenbloom, Oren, et al.	
		US2004/0268314	12/30/2004	Koilman, Michael D., et al.	
		US2005/0097110	05/05/2005	Nishanov, Gor, et al.	
		US2005/0132337	06/16/2005	Wedel, Maite, et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document Country Code/Number/Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
	1	EP1318461	06/11/2003	Cherdron, et al.		
	2	WO0205102 A1	01/17/2002	Jones, Larry L., et al.		
	3	WO0241154 A2	05/23/2002	Harris, David H., et al.		
	4	JP2001195151	07/19/2001	Ito, Takafumi, et al.		
	5	JP2002073522	03/12/2002	Kameda, Koji		
	6	JP2002082777	03/22/2002	Battaglia, Michael S., et al.		

## OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	7	"CCMS Monitoring Architecture: Preconfigured Monitors for the Alert Monitor", SAP Web Application Server 6.20, (11/16/2001), 52 pgs.	
	8	"CCMS: Informix", http://help.sap.com/saphelp_erp2004/helpdata/en/73/61c850800e25478d24696196a969a5..., CCMS: Informix (SAP Library - CCMS: Informix), (Downloaded 12/14/2004), 2 pgs.	
	9	"Changing Monitoring Setting of Servers and Groups", http://help.sap.com/saphelp_erp2004/helpdata/en/6c/d667aa73bb9c428e9786eaf559f7ea/..., Changing Monitoring Setting of Servers and Groups (SAP Library - The Alert Monitor), (Downloaded 12/14/2004), 2 pgs.	
	10	"Configuring Availability Monitoring", http://help.sap.com/saphelp_erp2004/helpdata/en/38/9e100dd7980ffe10000009b3810a5/..., Configuring Availability Monitoring (SAP Library - The Alert Monitor), (Downloaded 12/14/2004), 4 pgs.	
	11	"Creating and Changing a Monitoring Pause", http://help.sap.com/saphelp_erp2004/helpdata/en/73/098488227c384f97c564225737108c..., Creating and Changing a Monitoring Pause (SAP Library - The Alert Monitor), (Downloaded 12/14/2004), 2 pgs.	
	12	"Creating and Changing Monitoring Rules", http://help.sap.com/saphelp_erp2004/helpdata/en/40/17fd8c1754324b81da9027f1bbdb7b5/..., Creating and Changing Monitoring Rules (SAP Library - The Alert Monitor), (Downloaded 12/14/2004), 3 pgs.	
	13	"Debugging J2EE Applications", Sun Microsystems, Java - J2EE 1.4 Application	

EXAMINER

/Brendan Higa/

DATE CONSIDERED

07/16/2010

\* EXAMINER: Indicate reference considered, whether or not citation is in conformance with MPEP § 909. Draw line through citation if not in conformance and not considered. Indicate copy of this form with next communication to applicant. Applicant's attorney/agent designates whether citation is to be taken as a whole, in part, or as a teaching away from the invention.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.H./

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/750,058
Filing Date	December 30, 2003
First Named Inventor	Savchenko, Vladimir
Group Art Unit	2453
Examiner Name	Higa, Brendan

Sheet 6 of 10

Attorney Docket No: 6570P062

## OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Server Developer's Guide, "Debugging J2EE Applications" Chapter 4, <a href="http://java.sun.com/j2ee/1.4/docs/devguide/dgdebug.html">http://java.sun.com/j2ee/1.4/docs/devguide/dgdebug.html</a> , 2003, printed 7/2/2004 (11 pgs.), (2003), 11 pgs.	
	14	Wily Solutions "How Introscope Works" - Enterprise Application Management, <a href="http://www.wilytech.com/solutions/products/howWorks.html">http://www.wilytech.com/solutions/products/howWorks.html</a> , 1999-2004, printed 7/2/2004 (1 page), (1999-2004), 1 page	
	15	"Installing and Registering CCMS Agents", <a href="http://help.sap.com/saphelp_nw04/helpdata/en/48/6a76607a7c91409474ad6c7b6f5a26/frameset.htm">http://help.sap.com/saphelp_nw04/helpdata/en/48/6a76607a7c91409474ad6c7b6f5a26/frameset.htm</a> , SAP Library, (Downloaded 12/14/2004), 2 pgs.	
	16	"Installing Availability Agent CCMSPING", <a href="http://help.sap.com/saphelp_erp2004/helpdata/en/79/498e3bae1d9e7ae10000000a114084...">http://help.sap.com/saphelp_erp2004/helpdata/en/79/498e3bae1d9e7ae10000000a114084...</a> , Installing Availability Agent CCMSPING (SAP Library - The Alert Monitor), (Downloaded 12/14/2004), 2 pgs.	
	17	"Java Logging Overview", (November 2001), pp. 1-9	
	18	"Jikes Bytecode Toolkit: Overview", Alphaworks, <a href="http://www.alphaworks.ibm.com/tech/jikesbt">www.alphaworks.ibm.com/tech/jikesbt</a> , posted March 31, 2000, 2 pages, printed September 28, 2006.	
	19	"Mobile-Code Security Mechanisms for Jini" Download code, DISCEX 2001 Paper, <a href="http://theory.stanford.edu/people/jcm/software/jinifilter.html">http://theory.stanford.edu/people/jcm/software/jinifilter.html</a> , printed 7/2/2004 - (3 pgs.), (2001), 3 pgs.	
	20	"Monitoring", <a href="http://help.sap.com/saphelp_webas630/helpdata/en/68/f4bc3deaf28d33e10000000a11405...">http://help.sap.com/saphelp_webas630/helpdata/en/68/f4bc3deaf28d33e10000000a11405...</a> , Monitoring (SAP Library - J2EE Technology in SAP Web Application Server), (Downloaded 12/14/2004), 3 pgs.	
	21	"Operating System Monitor", <a href="http://help.sap.com/saphelp_nw04/helpdata/en/55/b5b93b5bb3493fe10000000a114084/frameset.htm">http://help.sap.com/saphelp_nw04/helpdata/en/55/b5b93b5bb3493fe10000000a114084/frameset.htm</a> , SAP Library, (Downloaded 12/14/2004), 1 pg.	
	22	"Package Gnu.Bytecode", Package Gnu.Bytecode, <a href="http://sources.redhat.com/kawa/api.gnu.bytecode/package-summary.html">http://sources.redhat.com/kawa/api.gnu.bytecode/package-summary.html</a> , 4 pages, printed September 28, 2006.	
	23	"The Alert Monitor", <a href="http://help.sap.com/saphelp_nw2004s/helpdata/en/28/83493b6b82e908e10000000a11402f/content.htm">http://help.sap.com/saphelp_nw2004s/helpdata/en/28/83493b6b82e908e10000000a11402f/content.htm</a> , SAP Library, (Downloaded 12/14/2004), 2 pgs.	
	24	"The Java Object Instrumentation Environment", Duke University, <a href="http://www.cs.duke.edu/ari/foie/">www.cs.duke.edu/ari/foie/</a> , last updated May 2003, printed September 28, 2006, 2 pages.	
	25	"The Monitoring Architecture: Concept", <a href="http://help.sap.com/saphelp_nw04/helpdata/en/c4/3a7da1505211d189550000e829fbbd/frameset.htm">http://help.sap.com/saphelp_nw04/helpdata/en/c4/3a7da1505211d189550000e829fbbd/frameset.htm</a> , SAP Library, (Downloaded 2/27/2008), 2 pgs.	
	26	"The SAP J2EE Engine Monitoring Architecture",	

EXAMINER

/Brendan Higa/

DATE CONSIDERED

07/16/2010

\*EXAMINER: Indent if reference considered, whether or not citation is in compliance with MPEP 609. Draw line through citation if not in compliance and not considered. Indicate copy of this form with next communication to applicant. Applicant must indicate document number indicated. Applicant is to check mark box if English language. Translation is authorized.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.H./

Substitute for form 1449A-PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number 10/750,058

Filing Date December 30, 2003

First Named Inventor Savchenko, Vladimir

Group Art Unit 2453

Examiner Name Higa, Brendan

Sheet 7 of 10

Attorney Docket No: 6570P062

## OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		http://help.sap.com/saphelp_webas630/helpdata/en/83/6b20165833fa43b28f0349a4d3108... The SAP J2EE Engine Monitoring Architecture (SAP Library - J2EE Technology in SAP..., (Downloaded 12/14/2004), 2 pgs.	
	27	"The Source for Developers: Core Java Java Management Extensions (JMX)", SUN MICROSYSTEMS, INC., "The Source for Developers: Core Java Java Management Extensions (JMX)" 2 pages, http://java.sun.com/products/JavaManagement/printed 4-2-04., (4/2/04), 2 pages	
	28	Wily Technology, Inc., Wily Solutions "The Wily 5 Solution - Enterprise Applications are Your Business", http://www.wilytech.com/solutions/ibm_family.html, 1999-2004, printed 7/2/2004 (2 pgs.), (1999-2004), 2 pgs.	
	29	Wily Technology, Inc., Wily Solutions "Wily Introscope" -Enterprise Application Management, http://www.wilytech.com/solutions/products/Introscope.html, 1999-2004, printed 7/2/2004 (2 pgs.), (1999-2004), 2 pgs.	
	30	Abdel-Aziz, A A., et al., "Mapping XML DTDs to relational schemas", IEEE, (2005), page(s) 1-4	
	31	Affeldt, Reynald, et al., "Supporting Objects in Run-Time Bytecode Specialization", Department of Graphics and Computer Science, University of Tokyo, ASIA-PEPM '02, September 12-17, 2002, ACM, pp. 50-60., (Sept. 12-17, 2002), pp 50-60.	
	32	Amer-Yahia, S, et al., "A Web-services architecture for efficient XML data exchange", IEEE, (2004), page(s) 1-12.	
	33	Burtscher, et al., "Automatic Generation of High-Performance Trace Compressors", IEEE, (2005), pg. 1-12	
	34	Burtscher, M, "VPC3: A Fast and Effective Trace-Compression Algorithm", ACM, (2004), pg. 167-176	
	35	Chander, Ajay, et al., "Mobile Code Security by Java Bytecode Instrumentation", Proceedings of the DARPA Information Survivability Conference & Exposition DISCEX-II 2001, June 12-14, 2001, Stanford University and University of Pennsylvania, (June 12-14, 2001), 14 pgs.	
	36	Chang, L., "A Network Status Monitoring System Using Personal Computer", Global Telecommunications Conference, 1989, and Exhibition, 'Communications Technology for the 1990s and Beyond', GLOBECOM '89, IEEE, (1989), Pgs 201-206	
	37	Cohen, Geoff A., et al., "An Architecture for Safe Bytecode Insertion", Software-Practice and Experience, [Version: 2000/03/06 v2.1] "An Architecture for Safe Bytecode Insertion", Department of Computer Science, Duke University, (03/06/2000), 27 pgs.	
	38	Cohen, Geoff A., et al., "Automatic Program Transformation with JOIE", Paper, Department of Computer Science, Duke University, 12 pages., (1998), 12 pgs.	
	39	Dahm, Markus, "Welcome to the Byte Code Engineering Library 4.4.1", http://bcel.sourceforge.net/main.html, last updated 4/12/02, 2 pages, printed September 28, 2006.	

EXAMINER

/Brendan Higa/

DATE CONSIDERED

07/16/2010

\*EXAMINER: Indent if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Indicate copy of this form with next communication to applicant. Applicant's review of references designated as prior art is not required. Applicant is to check a check mark box if English language. Translation is advised.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.H./

Substitute for form 1449A-PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/750,058
Filing Date	December 30, 2003
First Named Inventor	Savchenko, Vladimir
Group Art Unit	2453
Examiner Name	Higa, Brendan

Sheet 8 of 10

Attorney Docket No: 6570P062

## OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	40	Davies, Jonathan, et al., "An Aspect Oriented Performance Analysis Environment", Proceedings of the 2nd international conference on "An Aspect Oriented Performance Analysis Environment", Boston, Massachusetts March 17-21, 2003, 10 pgs.	
	41	Ege, R K., "Object-oriented database access via reflection", IEEE, (1999), Page(s) 36-41	
	42	Etienne Gagnon, et al., "Effective Inline-Threaded Interpretation of Java Bytecode Using Preparation Sequences", Sable Research Group, Université du Québec a Montreal and McGill University, Montreal, Canada, January 23 2003 (15 pgs.), (Jan. 2003), 15 pgs.	
	43	Gill, Peter W., "Probing for a Continued Validation Prototype", a Thesis Submitted to the Faculty of the Worcester Polytechnic Institute, May 2001, 111 pages.	
	44	Goldberg, Allen, et al., "Instrumentation of Java Bytecode for Runtime Analysis", Fifth ECOOP Workshop on Formal Techniques for Java-like Programs, July 21, 2003, Kestrel Technology, NASA Ames Research Center, Moffett Field, California USA, (9 pgs.), (July 21, 2003), 9 pgs.	
	45	Hampton, Kip, "High-Performance XML Parsing with SAX", Published on XML.com by 14 February 2001., 5 pgs.	
	46	Hart, James, ""Early Adopter: J2SE 1.4"", chapter 5, Wrox Press, (September 2001), pp. 1-12	
	47	Kaplan, et al., ""Flexible Reference Trace Reduction for VM Simulations"", ACM, (2003), pg. 1-38	
	48	Keller, Ralph, et al., "Supporting the Integration and Evolution of Components Through Binary Component Adaptation", www.cs.ucsb.edu/oocsb, September 9, 1997, Technical Report TRCS97-15, 12 pages.	
	49	Lee, Han B., "BIT: Bytecode Instrumenting Tool", University of Colorado, Department of Computer Science 1997, 51 pages.	
	50	Lee, Han B., et al., "BIT: A Tool for Instrumenting Java Bytecodes", originally published in the Proceedings of the USENIX Symposium on Internet Technologies and Systems, Monterey, California, December 1997, www.usenix.org/ (11 pgs.), (December 1997), 11 pgs.	
	51	Li, Wen, et al., "Collaboration Transparency in the DISCIPLE Framework", CAIP Center, Rutgers - The State University of New Jersey, Piscataway, NJ, USA, Proceeding of the ACM International Conference on Supporting Group Work (Group '99) November 14-17, 1999, 10 pgs.	
	52	Macrides, Nathan, "SANS Security Essentials (GSEC) Practical Assignment Version 1.4", NATHAN MACRIDES, Security Techniques for Mobile Code "SANS Security Essentials (GSEC) Practical Assignment Version 1.4", July 11, 2002, 11 pgs.	
	53	McLaughlin, Brett, "Tip: Set up a SAX Parser", Published by International Business Machines Corporation on 2 July 2003., 5 pgs.	
	54	Mcnamee, Dylan, et al., "Specialization Tools and Techniques for Systematic	

EXAMINER

/Brendan Higa/

DATE CONSIDERED

07/16/2010

\* EXAMINER: Indent if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Indicate copy of this form, with next communication to applicant. Applicant agrees citation designation (number, tickmark). Applicant is to claim in check mark box if English language. Translation is authorized.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.H./

Substitute for form 1449A-PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

**Application Number** 10/750,058**Filing Date** December 30, 2003**First Named Inventor** Savchenko, Vladimir**Group Art Unit** 2453**Examiner Name** Higa, Brendan

Sheet 9 of 10

Attorney Docket No: 6570P062

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Optimization of System Software", Oregon Graduate Institute of Science & Technology, and University of Rennes/IRISA, ACM Transactions on Computer Systems, 2001, 30 pgs.	
	55	Perry, J. S., "Java Management Extensions", O'Reilly Media, Inc., (06/18/2002), 1-30	
	56	Ploesch, Reinhold, "Evaluation of Assertion Support for the Java Programming Language", Johannes Kepler University Linz, Austria, JOT: Journal of Object Technology, Vol. 1, No. 3, Special Issue: TOOLS USA 2002 Proceedings. pp. 5-17. <a href="http://www.jot.fm/issues/">http://www.jot.fm/issues/</a> , (2002), pages 5-17.	
	57	Rudys, Algis, et al., "Enforcing Java Run-Time Properties Using Bytecode Rewriting", International Symposium on Software Security (Tokyo, Japan), November 2002, Rice University, Houston, TX 77005, USA (16 pgs.), (November 2002), 16 pgs.	
	58	Snyder, Alan, "The Essence of Objects: Concepts and Terms", IEEE Software, January 1993, pp. 31-42.	
	59	Stephenson, Ben, et al., "Characterization and Optimization of Java Applications", Department of Computer Science, Abstract in Western Research Forum Program & Abstracts, page 20, 2003., (2003), Page 20	
	60	Thomas-Kerr, J, et al., "Bitstream Binding Language; Mapping XML Multimedia Containers into Streams", IEEE, (2005), Pages 1-4	
	61	Welch, Ian, et al., "Kava - A Reflective Java Based on Bytecode Rewriting" SpringerLink - Verlag Berling Heidelberg 2000, Chapter, Lectures Notes in Computer Science, W. Cazzola, et al. Editors, Reflection and Software Engineering, LNCS, pages 155-167., (2000), pp. 155-167	
	62	WU, et al., "From Trace Generation to Visualization: A Performance Framework for Distributed Parallel Systems", IEEE, (2000), pp. 1-18	
	63	"EarlyAdopter_DS.pdf, Bibliographic Data for "Early Adopter: J2SE 1.4"", by Wrox Press, 1 pg	
	64	"Adding Systems to the Availability Monitoring Manually", <a href="http://help.sap.com/saphelp_erp2004/helpdata/en/38/9e0fe5d7980ffe10000009b3810a5/c...">http://help.sap.com/saphelp_erp2004/helpdata/en/38/9e0fe5d7980ffe10000009b3810a5/c...</a> , Adding Systems to the Availability Monitoring Manually (SAP Library - The Alert Monitoring), (Downloaded 12/14/2004), 1 pg.	
	65	"Alert Monitoring of the J2EE Engine Using CCMS", <a href="http://help.sap.com/saphelp_webas630/helpdata/en/92/ef30241c0f4a41959390a27e286ce...">http://help.sap.com/saphelp_webas630/helpdata/en/92/ef30241c0f4a41959390a27e286ce...</a> , Alert Monitoring of the J2EE Engine Using CCMS - SAP Library, (Downloaded 12/14/2004), 2 pgs.	
	66	"Availability and Performance Overview Monitor", <a href="http://help.sap.com/saphelp_erp2004/helpdata/en/ae/cd823bd26a5c42e10000000a114084...">http://help.sap.com/saphelp_erp2004/helpdata/en/ae/cd823bd26a5c42e10000000a114084...</a> , Availability and Performance Overview Monitor (SAP Library - The Alert Monitor), (Downloaded 12/14/2004), 3 pgs.	
	67	"Availability Monitoring with CCMSPPING", <a href="http://help.sap.com/saphelp_erp2004/helpdata/en/38/9e3337d7840dfee10000009b381">http://help.sap.com/saphelp_erp2004/helpdata/en/38/9e3337d7840dfee10000009b381</a>	

EXAMINER

/Brendan Higa/

DATE CONSIDERED

07/16/2010

\* EXAMINER: Indicate if reference considered, whether or not citation is in accordance with MPEP 609. Draw line through citation if not in accordance and not considered. Indicate copy of this form with next communication to applicant. Applicant's review of references is not required. Applicant is to check mark box. If English language, translation is authorized.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.H./

Substitute for form 1449A-PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/750,058
Filing Date	December 30, 2003
First Named Inventor	Savchenko, Vladimir
Group Art Unit	2453
Examiner Name	Higa, Brendan

Sheet 10 of 10

Attorney Docket No: 6570P062

## OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		0a5/..., Availability Monitoring with CCMSPING (SAP Library - The Alert Monitor), (Downloaded 12/14/2004), 19 pgs.	
	68	"CCMS Agents", <a href="http://help.sap.com/saphelp_nw04/helpdata/en/48/6a/7c91409474ad6c7b6f5a26/frameset.htm">http://help.sap.com/saphelp_nw04/helpdata/en/48/6a/7c91409474ad6c7b6f5a26/frameset.htm</a> , 2 pgs.	

EXAMINER

/Brendan Higa/

DATE CONSIDERED

07/16/2010

Based on PTO/S&O/AO-601 - Substitute Disclosure Statement Form (PTO-1449) as modified by BSTZ 032607  
\* EXAMINER: Indicate reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designations number (checked).<sup>2</sup> Applicant is to claim in check mark form, if English language. Translation is allowed.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.H./